

TY 2007 Self Dealing Statement

Name: Brown University

EIN: 05-0258809

Line Number	Explanation
2c	<p>FROM TIME TO TIME THE ORGANIZATION MAY ENGAGE IN OTHER TRANSACTIONS WITH RELATED PARTIES; ALL SUCH TRANSACTIONS ARE CONDUCTED AT ARM'S LENGTH AND FOR GOOD AND SUFFICIENT CONSIDERATION. MOREOVER, IN SUCH INSTANCES, THE TRUSTEE OR OFFICER PLAYS NO SIGNIFICANT ROLE IN THE TRANSACTION. 1) STEVEN RATTNER, CORPORATION MEMBER, IS INVESTED IN CERTAIN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 2) THOMAS W. BERRY, CORPORATION MEMBER, IS INVESTED IN A COMPANY THAT CONDUCTS BUSINESS WITH THE UNIVERSITY. THE COMPANY CONDUCTS BUSINESS AT A MINIMAL LEVEL AND HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED BUSINESS. 3) KATHERINE G. FARLEY, CORPORATION MEMBER, IS INVESTED IN AND SERVES AS THE DIRECTOR OF AN INVESTMENT PARTNERSHIP IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. SHE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 4) RICHARD A. FRIEDMAN, CORPORATION MEMBER, IS INVESTED IN A COMPANY THAT CONDUCTS BUSINESS WITH THE UNIVERSITY. THE COMPANY CONDUCTS BUSINESS AT A MINIMAL LEVEL AND HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED BUSINESS. 5) FREDRIC B. GARONZIK, CORPORATION MEMBER, IS INVESTED IN CERTAIN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 6) JONATHAN M. NELSON, CORPORATION MEMBER, IS INVESTED IN CERTAIN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 7) RALPH F. ROSENBERG, CORPORATION MEMBER, IS INVESTED IN CERTAIN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 8) RUTH J. SIMMONS, PRESIDENT, IS INVESTED IN GOLDMAN SACHS AND WAS A MEMBER OF THE BOARD OF DIRECTORS AT GOLDMAN SACHS AND TEXAS INSTRUMENTS, DURING FISCAL YEAR 2008. BOTH COMPANIES CONDUCT BUSINESS WITH THE UNIVERSITY AT A MINIMAL LEVEL. THE PRESIDENT DOES NOT PARTICIPATE IN UNIVERSITY DECISIONS IN MATTERS REGARDING THESE COMPANIES. 9) MARTA TIENDA, CORPORATION MEMBER, IS ON THE BOARD OF DIRECTORS OF TEACHERS INSURANCE & ANNUITY ASSOCIATION/COLLEGE RETIREMENT EQUITIES FUND (TIAA/CREF). THIS COMPANY ADMINISTERS A DEFINED BENEFIT PENSION PLAN FOR THE UNIVERSITY. IT ALSO SERVES AS AN INVESTMENT CARRIER FOR THE UNIVERSITY'S EMPLOYEE CONTRIBUTION 403 (B) RETIREMENT PLAN. SHE DOES NOT PARTICPATE IN UNIVERSITY DECISIONS IN MATTERS REGARDING TIAA/CREF. 10) JEROME C. VASCELLARO, CORPORATION MEMBER, IS INVESTED IN AND SERVES AS A PARTNER IN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS. 11) MATTHEW J. MALLOW, CORPORATION MEMBER, IS INVESTED IN CERTAIN INVESTMENT PARTNERSHIPS IN WHICH THE UNIVERSITY IS A LIMITED PARTNER. HE DOES NOT PARTICIPATE IN ANY CORPORATION VOTE REGARDING THE RELATED INVESTMENTS.</p>
2d	FORM 990, PART V-A